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TC 2800 MAIL ROOM  
# 11  
4-20-02  
Payton

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLN. OF: NAKAYAMA  
SERIAL NO.: 09/593,891  
FILED: June 14, 2000  
FOR: Semiconductor Storage Device  
DOCKET: NEC DP-624

The Commissioner of Patents & Trademarks  
Washington, D.C. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In connection with the above-entitled matter, Applicant hereby attaches U.S. Patent Office form PTO-1449, including a copy of the prior art references listed therein. The references were cited in the Japanese Office Action dated December 11, 2001 of the underlying Japanese patent application. Enclosed is the Japanese Office Action, together with an English translation of the relevant portions thereof. The claims in the present application are believed to be patentably distinguished over these references.

This Information Disclosure Statement is being made pursuant to the duty of disclosure imposed by law and formulated in 37 CFR 1.56(A). No representation is made that the information thus disclosed in fact constitute prior art or that it is the closest prior art, inasmuch as 37 CFR 1.56(A) relies on a materiality concept which depends on subjectivity.

In compliance with the requirements of 37 C.F.R. § 1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designed in 35

HAYES SOLOWAY P.C.  
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U.S.C. § 1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the reference, the accuracy of the statement of foreign examiner or the claims of the foreign application under the laws of the country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted therewith.

The enclosed Information Disclosure Statement is being submitted within three months of the Japanese Office Action, as certified in the attached Certification for Information Disclosure Statement. Therefore, we believe there are no fees involved with this Information Disclosure Statement other than the fee as required by 37 CFR 1.17(p). Form PTO-2038 authorizing credit card payment in the amount of \$180.00 is enclosed to cover this fee. In the event there are additional fees payable, please charge them to our Deposit Account No. 08-1391.

Respectfully submitted,

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on March 11, 2002 at Tucson, Arizona.

By: \_\_\_\_\_

*Kim Lovel*

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STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT

Docket No.

REC-624

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MAR 27 2002  
TC 2800 MAIL ROOM

In Re Application Of: NAKAYAMA

Serial No.

09/593,891

MAR 19 2002

Filing Date

JUNE 14, 2000

Examiner

C. CHU

Group & Unit

2815

Invention:

SEMICONDUCTOR STORAGE DEVICE

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.



Signature

Dated: March 11, 2002

NORMAN P. SOLOWAY  
HAYES SOLOWAY P.C.  
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I certify that this document is being deposited on  
3/11/02 with the U.S. Postal Service as first  
class mail under 37 C.F.R. 1.8 and is addressed to the  
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KIM GOOD

Typed or Printed Name of Person Mailing Correspondence

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